

# Application Form – Additional Investment

This Form is for existing investors in the Affluence Small Company Fund who wish to apply for additional units in the Fund. The additional investment amount will be added to your existing investment in the Fund. You can only use this form if your application details are unchanged.

Complete this form using BLACK INK and write clearly within the boxes in CAPITAL LETTERS. Mark appropriate answer boxes with a cross (X). You should read the IM for the Fund in full before completing this Application Form.

If you have any questions, contact Boardroom on 1300 397 812, +61 2 8016 2883 or [affluence@boardroomlimited.com.au](mailto:affluence@boardroomlimited.com.au)

## PART 1 – INVESTOR IDENTIFICATION

These details can be found on the welcome letter which confirmed your initial investment in the Fund.

Investor Number

Investor Name (Example: "John Smith" or "John Smith ATF John R Smith Super Fund")

## PART 2 – INVESTMENT AMOUNT & PAYMENT DETAILS

Enter the amount you would like to increase your investment by.

Additional Investment Amount:

\$    ,    ,    .

For direct deposits, please ensure your investor number or name is included in the application payment reference. Units will not be issued if the application payment cannot be identified and matched.

Please indicate which payment method you've used:

Cheque

> Made payable to: **AFFLUENCE FUNDS MANAGEMENT LIMITED ATF ASF APPLICATIONS**

Direct Deposit

> Your Reference

Deposit funds to: Westpac, 260 Queen Street, Brisbane BIC/Swift Code: WPACAU2F  
BSB: 034 001 Account No.: 586 192 Name: Affluence Funds Management Limited ATF ASF Applications

## PART 3 – ADVISER DETAILS

If you use a Financial Adviser, have them complete and sign this Section.

Adviser full name

Adviser email address

Adviser company (if applicable)

Licensed Dealer

Licence No:

ADVISER SIGNATURE

## PART 4 – DECLARATION AND SIGNATURES

I acknowledge, declare and agree that by signing this Application Form:

- I have received, read and understood the IM dated 1 April 2019 to which this Application Form applies and have received and accepted the offer to invest in Australia. I agree to be bound by the IM and the Constitution (each as amended from time to time).
- I am a wholesale client as defined in section 761G(7) or a sophisticated investor as defined in section 761GA of the Corporations Act. The information contained in the IM does not constitute financial product advice or a recommendation that the Fund is suitable for me, given my investment objectives, financial situation and needs. AFM has not given me a product disclosure statement or any other document that would be required to be given to me under Chapter 7 of the Corporations Act if this product were provided to me as a retail client and does not have any other obligation to me under Chapter 7 of the Corporations Act that AFM would have if the product were provided to me as a retail client.
- None of AFM or any other person guarantees the repayment of the amount invested in the Fund, the performance of nor any particular return from the Fund and I understand the risks involved in investing in the Fund.
- All details provided in this Application Form are true and correct. I indemnify AFM against any liabilities whatsoever arising from acting on any information I provide in connection with this application. If this application is signed under Power of Attorney, I declare that I have not received notice of revocation of the power.
- AFM reserves the right to reject any application and AFM is released and indemnified in respect of any loss or liability arising from its rejection of an application for any reason.
- I acknowledge that AFM may be required to pass on information about me to comply with AML/CTF laws, FATCA laws and the CRS. I will provide AFM with all additional information and assistance AFM may request in order for AFM to comply with AML/CTF, FATCA and CRS laws. I am not aware and have no reason to suspect the monies used to fund my investment in the Fund have been or will be derived from or related to any money laundering, terrorism financing or similar or other illegal activities under applicable laws or regulations. I am not a politically exposed person or organisation for the purposes of the AML/CTF laws.
- I acknowledge that AFM or the Registry may supply my nominated adviser (if any) or their AFSL holder with information about my investment unless I instruct AFM not to do so.

Signature A

Date (day/month/year)

 /  / 

Signature B

Date (day/month/year)

 /  / 

Full Name

Full Name

Email your completed form to [affluence@boardroomlimited.com.au](mailto:affluence@boardroomlimited.com.au), or fax to +61 2 9279 0664, or

Mail these items to the Fund registrar:

Boardroom Pty Limited  
GPO Box 3993  
Sydney NSW 2001