

Application Form – Individuals

This Form is for one or more individuals who have not previously invested in the Affluence LIC Fund.

Complete this form using BLACK INK and write clearly within the boxes in CAPITAL LETTERS. Mark appropriate answer boxes with a cross (X). You should read the PDS for the Fund in full before completing this Application Form.

If you have any questions, contact Boardroom on 1300 397 812, +61 2 8016 2883 or affluence@boardroomlimited.com.au

PART 1 – INVESTOR IDENTIFICATION

If you have not invested in an Affluence fund previously, you must attach the required certified identification documents.

Has the applicant invested in an Affluence fund previously?

Yes, investor number:

No

For each individual who has not previously invested, please attach a certified copy of an original driver's licence, passport or other Identification Document. Refer to the Application Pack for more detail on acceptable Identification Documents and certification requirements.

PART 2 – INVESTMENT AMOUNT & PAYMENT DETAILS

Minimum application is \$20,000 and thereafter multiples of \$1,000.

Investment amount: \$, , 0 0 0 . 0 0

For direct deposits, please ensure your name is included in the application payment reference. Units will not be allocated if the application payment cannot be identified and matched.

Please indicate which payment method you've used:

Cheque > Make payable to **PERPETUAL CORPORATE TRUST LIMITED ACF ALF APPLICATIONS**

Direct Deposit > Your Reference

Deposit funds to: Westpac, 341 George Street, Sydney BIC/Swift Code: WPACAU2F
BSB: 032 143 Account No.: 464925 Account Name: Perpetual Corporate Trust Limited ACF ALF Applications

PART 3 – APPLICANTS DETAILS

Include the full names of all persons. Provide a date of birth for each individual.

Individual full name	Date of birth
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Provide the full residential address for each individual.

Individual address (residential address)
<input type="text"/>
<input type="text"/>
<input type="text"/>

Provide the TFN of each individual.

Individual 1 TFN	Individual 2 TFN	Individual 3 TFN
<input type="text"/>	<input type="text"/>	<input type="text"/>

PART 4 – APPLICANT(S) CONTACT DETAILS (MUST NOT BE ADVISER DETAILS)

Please enter contact details, including phone numbers in case we need to contact you in relation to your application.

Adviser details are not acceptable unless your Adviser holds a power of attorney, a certified copy of which must be provided.

These contact details will be used for all correspondence.

All investment communication is emailed.

Preferred contact name(s)

Postal Address Suburb

State Postcode Country

Business Phone Home Phone

Mobile Phone Facsimile

Email Address

PART 5 – COMMUNICATION PREFERENCES

We usually send 1-2 emails per month including investment ideas and educational articles

Would you like to receive additional investment offers and information from Affluence?

 Yes No

Are you an Affluence Member?

 Yes No

If yes, please provide your login/email

If you are not already an Affluence Member, we will automatically register you. It's free for all Affluence investors and includes access to all our premium website content, investment ideas and Fund portfolio details.

PART 6 – ADVISER DETAILS

If you use a financial adviser, have them complete and sign this Section.

Adviser Name

Adviser Email Address

Licensed Dealer Name

AFS Licence No.

Adviser Company (if applicable)

Adviser Signature

Would you like your Adviser to receive copies of investment correspondence?

 Yes No

PART 7 – ADDITIONAL INVESTMENT ENQUIRER

If you would like someone other than the Contact or your Adviser to be able to enquire about this investment, please provide us with their details here.

Additional Enquirer Name

Company (if applicable)

PART 8 – DISTRIBUTION AND WITHDRAWAL PAYMENTS

You are required to provide Australian or New Zealand bank account details for electronic payment of distributions. Payment cannot be made by cheque. If no bank account details are provided, distributions will be automatically reinvested.

Would you like your distributions reinvested into the Fund as additional units?

 Yes No

Bank Name and Address

Account Name

BSB

Account number (including suffix for NZ applicants)

PART 9 – TAX STATUS

We are required to collect this information to satisfy legal requirements and to ensure correct amounts of withholding tax are deducted for foreign investors.

Are any of the applicants citizens or residents of a country other than Australia for tax purposes?

 Yes No

If yes, complete the following and we may require you to provide additional information:

Name

Country of tax residency

TIN, GIIN or other Tax ID Number

PART 10 – DECLARATION AND SIGNATURES

I acknowledge, declare and agree that by signing this Application Form:

- I have received, read and understood the PDS dated 8 August 2019 to which this Application Form applies and have received and accepted the offer to invest in Australia or New Zealand. I agree to be bound by the PDS and the Constitution (each as amended from time to time).
- The information contained in the PDS does not constitute financial product advice or a recommendation that the Fund is suitable for me, given my investment objectives, financial situation and needs.
- None of AFM or any other person guarantees the repayment of the amount invested in the Fund, the performance of, nor any particular return from the Fund and I understand the risks involved in investing in the Fund.
- I have legal power to invest in accordance with this application and have complied with all applicable laws in doing so. All details provided in this Application Form are true and correct and I am over the age of 18 years. I indemnify AFM against any liabilities whatsoever arising from acting on any information I provide in connection with this application. If this application is signed under Power of Attorney, I declare that I have not received notice of revocation of the power.
- In the case of joint applications, the joint applicants agree that unless otherwise indicated on the application form, the investment will be held as joint tenants and either investor is able to operate the account and bind the other investor for future transactions.
- I acknowledge that AFM may be required to pass on information about me to comply with AML/CTF, FATCA and CRS requirements. I will provide AFM with all additional information and assistance AFM may request in order for AFM to comply with AML/CTF, FATCA and CRS requirements. I am not aware and have no reason to suspect the monies used to fund my investment in the Fund have been or will be derived from or related to any money laundering, terrorism financing or similar or other illegal activities under applicable laws or regulations. I am not a politically exposed person or organisation for the purposes of AML/CTF laws.
- I have read and understood the 'Privacy Statement' in the PDS. Unless I inform AFM otherwise, I consent to all uses of my personal information contained under that heading.
- AFM and the Registry may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instruction or other instrument believed, in good faith, to be genuine or to be signed by properly authorised persons. AFM and the Registry are authorised to accept and act upon any instructions in respect of this application and the investment to which it relates given by me by facsimile. I indemnify AFM and the Registry against any loss arising as a result of any of them acting on facsimile instructions.
- AFM reserves the right to reject any application and AFM is released and indemnified in respect of any loss or liability arising from its rejection of an application for any reason.
- If I nominate an adviser, then I acknowledge that AFM or the Registry may supply my adviser or their AFSL holder with information about my investment unless I instruct AFM not to do so

Signatures

If the application is signed by more than one person, who can operate the account in the future?

Any to sign All to sign

Signature A

Date (day/month/year)

/
 /

Full Name

Signature B

Date (day/month/year)

/
 /

Full Name

Signature C

Date (day/month/year)

/
 /

Full Name

CHECKLIST

- Have you:
- Completed and signed this application form
 - Attached a cheque or arranged a payment for the full application amount
 - Attached certified copies of Identification Documents if required (refer to Part 1 and the Application Pack)

Mail these items to the Fund registrar:

Boardroom Pty Limited
GPO Box 3993
Sydney NSW 2001

BoardRoom
Smart Business Solutions

WHAT HAPPENS NEXT

- Completed Application Forms, application funds and Identification Documents must be received by Boardroom no later than the last business day of each month to be accepted for that month.
- We will contact you if any further information is required. Once all required information is received, Boardroom will email you a confirmation of receipt of the application and funds.
- Units are issued as at the 1st of the following month. Boardroom will email you a transaction statement confirming your investment by the 10th of the following month.