

Pro forma notice of significant dealing—Distributors

#[TO BE PLACED ON DISTRIBUTOR LETTERHEAD]

#[insert date]

Affluence Funds Management Limited
Attention: Compliance Officer
GPO Box 111, Brisbane QLD 4000 or invest@affluencefunds.com.au

Dear Sir / Madam

Notice of significant dealing—#[insert fund name and ARSN]

#[Insert distributor name, ACN and AFSL no.], distributor of the #[insert fund name and ARSN] (Product), advises of the following significant dealing with respect to the Product.

Date(s) of the significant dealing	#[Include both— (a) the date that the significant dealing occurred, or date range during which dealings occurred that taken together are significant, and (a) the date the distributor became aware of the significant dealing.]
Description of the significant dealing	#[Describe the significant dealing, including why it is not consistent with the target market determination.]
Why the dealing is significant	#[Describe why the distributor considered the dealing to be significant.]
How the significant dealing was identified	#[For example, the significant dealing may have been identified through the distributor 's product governance arrangements, reporting from the distributor, as a result of a consumer complaint or the occurrence of a review trigger.]
What steps, if any, have been, or will be, taken in relation to the significant dealing	#[Include details as relevant.]
Other	#[Include details as relevant.]