

Target Market Questions

Affluence Investment Fund

Please answer the following questions, which relate to your investment status and the key features of the Fund. If you answer yes to either of the first two questions, you will not need to complete the remaining Target Market Questions.

Your answers to these questions will NOT affect your eligibility to invest in the Fund.

Question	Yes	No
Investment Status		
Are you investing more than \$500,000 or do you believe you would otherwise qualify as a wholesale or sophisticated investor?	<input type="checkbox"/>	<input type="checkbox"/>
Are you investing in this Fund on the advice of a licensed financial adviser who has taken into account your personal objectives, financial situation and needs?	<input type="checkbox"/>	<input type="checkbox"/>
If you answered yes to either question, you do not need to complete the remaining Target Market Questions. Proceed directly to the Application Form.		

Target Market Questions		
Do you accept that the Fund aims to achieve its investment objectives over three years and longer, and that it may not be appropriate if your expected investment period is shorter than three years?	<input type="checkbox"/>	<input type="checkbox"/>
Do you accept that the capital value of an investment in the Fund is not guaranteed and will fluctuate over time, particularly over shorter periods and when investment markets suffer losses?	<input type="checkbox"/>	<input type="checkbox"/>
Do you accept that while the Fund targets distributions of 5% per annum, paid monthly, that distributions are not guaranteed and may vary over time, or even cease for a period?	<input type="checkbox"/>	<input type="checkbox"/>
Do you accept that despite the Fund having a high level of diversification, it may be riskier to invest all your savings in a single fund, rather than hold a diversified portfolio of investments?	<input type="checkbox"/>	<input type="checkbox"/>
Do you accept that while withdrawals are generally paid monthly, this is not guaranteed and that in some limited circumstances, you may have to wait longer to redeem your investment?	<input type="checkbox"/>	<input type="checkbox"/>
Please indicate how much your investment in the fund represents of the total assets you have available for investment, excluding your residential home:		
Less than 25% <input type="checkbox"/> 25% - 75% <input type="checkbox"/> 75% - 100% <input type="checkbox"/> Prefer not to say <input type="checkbox"/>		

If you have answered 'No' to any of the above questions, this product may not be suitable for you. Before proceeding with your investment, please:

- Review the Fund's TMD, which can be found at <https://affluencefunds.com.au/invest/>.
- Review the Fund's PDS, in particular the disclosures around Fund risks.
- Consider speaking with a financial adviser or other investment professional.

You may now proceed to the Application Form.

PART 4 – APPLICANTS DETAILS

Include the full names of all persons or companies that are trustees.

Provide a date of birth for individuals or an ACN / ABN for companies.

Provide the full residential address for each individual trustee or the registered office address for each company trustee.

If the trustee is a company, please provide the full name of each director of the company.

Provide the Super Fund name.

You must provide the ABN of the Super Fund for identification purposes.

Provide the country in which the Fund was established.

Trustees details (full name of individuals or company)

Trustee 1

Date of birth or ACN / ABN

Trustee 2

Trustee 1

Trustees address (individuals residential address or company registered office)

Trustee 1

Trustee 2

Trustee 3

Company Trustee directors (if more than four, provide details on a separate sheet)

Director 1

Director 2

Director 3

Director 4

Company Trustee principal place of business (if different from registered office)

Super Fund Name

ATF

Super Fund ABN

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Super Fund TFN

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In which country was the Fund established?

Australia

OR another country:

PART 5 – ADVISER DETAILS

If you use a financial adviser, have them complete and sign this Section.

Adviser Name

Adviser Email Address

Licensed Dealer Name

AFS Licence No.

Adviser Company (if applicable)

Adviser Signature

Would you like your Adviser to receive copies of investment correspondence?

Yes No

PART 6 – TAX STATUS

We are required to collect this information to satisfy legal requirements and to ensure correct amounts of withholding tax are deducted for foreign investors.

Are any of the applicants (including members, trustees or beneficial owners of a company trustee) citizens or residents of a country other than Australia for tax purposes?

Yes No

If yes, complete the following and we may require you to provide additional information:

Name

Country of tax residency

TIN, GIIN or other Tax ID Number

PART 7 – DISTRIBUTION AND WITHDRAWAL PAYMENTS

You are required to provide Australian or New Zealand bank account details for electronic payment of distributions and withdrawals. Payment cannot be made by cheque. If no bank account details are provided, distributions will be automatically reinvested.

Would you like your distributions reinvested into the Fund as additional units? Yes No

Bank Name and Address

Account Name

BSB

Account number (including suffix for NZ applicants)

PART 8 – ADDITIONAL INVESTMENT ENQUIRER

If you would like someone other than the Contact or your Adviser to be able to enquire about this investment, please provide us with their details here.

Additional Enquirer Name

Company (if applicable)

PART 9 – DECLARATION AND SIGNATURES

I acknowledge, declare and agree that by signing this Application Form:

- I have received, read and understood the PDS dated 30 September 2022 to which this Application Form applies and the TMD current at the date of signing this Application Form and have received and accepted the offer to invest in Australia or New Zealand. I agree to be bound by the PDS and the Constitution (each as amended from time to time).
- The information contained in the PDS and TMD does not constitute financial product advice or a recommendation that the Fund is suitable for me, given my investment objectives, financial situation and needs.
- None of AFM or any other person guarantees the repayment of the amount invested in the Fund, the performance of, nor any particular return from the Fund and I understand the risks involved in investing in the Fund.
- I have legal power to invest in accordance with this application and have complied with all applicable laws in doing so. All details provided in this Application Form are true and correct and I am over the age of 18 years. I indemnify AFM against any liabilities whatsoever arising from acting on any information I provide in connection with this application. If this application is signed under Power of Attorney, I declare that I have not received notice of revocation of the power.
- In the case of joint applications, the joint applicants agree that unless otherwise indicated on the application form, the investment will be held as joint tenants and either investor is able to operate the account and bind the other investor for future transactions.
- I acknowledge that AFM may be required to pass on information about me to comply with AML/CTF, FATCA and CRS requirements. I will provide AFM with all additional information and assistance AFM may request in order for AFM to comply with AML/CTF, FATCA and CRS requirements. I am not aware and have no reason to suspect the monies used to fund my investment in the Fund have been or will be derived from or related to any money laundering, terrorism financing or similar or other illegal activities under applicable laws or regulations. I am not a politically exposed person or organisation for the purposes of AML/CTF laws.
- I have read and understood the 'Privacy Statement' in the PDS. Unless I inform AFM otherwise, I consent to all uses of my personal information contained under that heading.
- AFM and the Registry may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instruction or other instrument believed, in good faith, to be genuine or to be signed by properly authorised persons. AFM and the Registry are authorised to accept and act upon any instructions in respect of this application and the investment to which it relates given by me by facsimile. I indemnify AFM and the Registry against any loss arising as a result of any of them acting on facsimile instructions.
- AFM reserves the right to reject any application and AFM is released and indemnified in respect of any loss or liability arising from its rejection of an application for any reason.
- If I nominate an adviser, then I acknowledge that AFM or the Registry may supply my adviser or their AFSL holder with information about my investment unless I instruct AFM not to do so.
- I confirm my answers to the Target Market Questions completed with this Application Form are correct.

Signatures

If the application is signed by more than one person, who can operate the account in the future?

Any to sign All to sign

Signature A

Date (day/month/year)

 / /

Full Name

Director Sole Director & Company Secretary

Trustee Other

Signature B

Date (day/month/year)

 / /

Full Name

Director Company Secretary

Trustee Other

PART 10 – COMMUNICATION PREFERENCES

Would you like to receive monthly fund updates? Yes No

How did you hear about Affluence?

CHECKLIST

Have you:

- Completed and signed this application form
- Attached a cheque or arranged a payment for the full application amount
- Attached certified copies of Identification Documents if required (refer to Part 1 and the Application Pack)

Mail these items to: Affluence Funds Management Limited Email to: invest@affluencefunds.com.au
GPO Box 112
Brisbane QLD 4001

WHAT HAPPENS NEXT

- Application Forms, funds and Identification Documents must be received no later than the 25th of a month to be accepted for that month.
- We will contact you if further information is required. Once all information is received, we will email you a confirmation of receipt.
- Units are issued as at the 1st of the following month. We will email you a statement confirming your investment by the 10th of the following month.